# Combinatorics of singular cardinals 

Mirna Džamonja<br>University of East Anglia, UK

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Let us explore if we do really and if it is really strange.
We shall be interested in singular cardinals and their successors. We put forward a thesis that they are in fact nicer than the successors of regulars.

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(Shelah) $(\forall n<\omega) 2^{\aleph_{n}}<\aleph_{\omega} \Longrightarrow 2^{\aleph_{\omega}}<\aleph_{\omega_{4}}$.

## A universality problem

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Some newer results

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Open Question Is there a model of set theory where $u_{T_{\aleph_{1}}}=1$ ?

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Theorem (Dž. + Väänänen, Journal of Mathematical Logic 2011) Let $\kappa$ be a strong limit singular of cofinality $\omega$.

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It is sort of a combinatorial version of SCH.

## $\kappa$ singular, $\operatorname{cf}(\kappa)=\omega, \kappa=\sup _{n} \kappa_{n}$

- к- Tree: a tree T of height and cardinality $\kappa$ (levels may be large)
- T is bounded if it has no к branch
- A reduction $\mathrm{f}: \mathrm{T} \rightarrow \mathrm{T}$ ' is a function preserving the strict order $\mathrm{x}<{ }_{\mathrm{T}} \mathrm{y} \Rightarrow \mathrm{f}(\mathrm{x})<_{\mathrm{T}} \mathrm{f}(\mathrm{y})$.
- We are interested in the structure of the class of $\kappa$-Trees under the reducibility relation

In the case of regular cardinals, e.g. $\kappa=\omega_{1}$ structure of the corresponding class is intensively studied (e.g. S. Todorcevic and J. Väänänen, Trees and Ehrenfeucht-Fräissé games, Annals of Pure and Applied Logic 100 (1999), pp. 69--97).

Motivation from infinitary logics determined by a game. Trees are used as clocks in these (or other) games and if there is a reduction T to $\mathrm{T}^{\prime}$, then it is easier for the good player to win the game determined by T than the one determined by T '. These games generalise the ordinary Ehrenfeucht-Fräissé games where the clock is $\omega$ or a well-founded tree (the latter used by Karp and also by Barwise in 1970s for back and forth sequences).

There are many ZFC results about such trees but also many independence results. In particular, the universality number can have various values.

Here, the universality number is the smallest size of a family 7 of $\kappa$-Trees such that every к-Tree has a reduction into a member of 7.

In the case of $\kappa$-Trees where $\kappa$ is singular of countable cofinality, we obtain a ZFC calculation of the universality number. It is $\kappa^{+}$. This makes these к-Trees really look like ordinals. In fact, we introduce a notion of rank and show that within each rank, the universality number is $\omega$.

A ZFC calculation of the universality number cannot be obtained for $\kappa$-Trees where $\kappa$ is singular of uncountable cofinality, because there are results that connect this number to the universality number of $\lambda$-Trees where $\lambda=c f(\kappa)$.

## rank on $\kappa$-Trees

- $\rho(\mathrm{t}) \geq \alpha$ if for every n and $\beta<\alpha$ there is $s \geq_{T} t$ of height $\geq \kappa_{n}$ with $\rho(\mathrm{s}) \geq \beta$.
- $\rho(\mathrm{t})=\alpha$ if $\rho(\mathrm{t}) \geq \alpha$ but not $\rho(\mathrm{t}) \geq \alpha+1$
- $\rho(\mathrm{T})=\rho\left(\mathrm{t}^{*}\right)$, where $\mathrm{t}^{*}$ is the root of $T$

Note: (1) The value of the rank does not depend on the choice of $\left\langle\kappa_{n}: n<\omega>\right.$.
(2) If $T \leq T^{\prime}$ then $\rho(T) \leq \rho\left(T^{\prime}\right)$.

We can introduce a game which can be used to prove
Theorem 1 A $\kappa$-Tree $T$ is bounded iff $\rho(T)<\kappa^{+}$.
Using the notion of the rank and a certain operation on к-Trees we can directly prove the following

Theorem 2 The universality number of the class of bounded $\kappa$-Trees is $\kappa^{+}$.

However:

We can calculate the universality number within each rank, and this number is not 1 , as the analogy with the ordinals would suggest, it is $\omega$. The theorem we obtain implies Theorem 2.

Theorem 3 (1) The universality number of $\kappa$-Trees of rank $\alpha$ for $0<\alpha<\kappa^{+}$is $\omega$.
(2) For every rank $\alpha$ there is a tree $\mathrm{T}^{\alpha}$ of rank
$\alpha+1$ satisfying $\mathrm{T} \leq \mathrm{T}^{\alpha}$ for all $\kappa$-Trees of rank $\alpha$.

Corollary: Theorem 2.

Proof: The universal family is $\left\{\mathrm{T}^{\alpha}\right.$ : $\left.\alpha<\kappa^{+}\right\}$and this family is minimal because if $\alpha<\beta$ then $\mathrm{T}^{\beta}$ cannot embed into $\mathrm{T}^{\alpha}$.

## About Proof of Theorem 3

We illustrate the proof of Theorem 3 by concentrating on the case of rank=1. A typical tree of rank 1 is the fan F : $\kappa_{0}$
it consists of a branch of length $\kappa_{\mathrm{n}}$ for each n , joined by a common root.


For each $n$ let $F_{n}$ consist of a stem of length $\kappa_{n}$ topped up with a copy of $F$. If $n<m$ then there is no reduction from $F_{m}$ to $F_{n}$, because the reduction would have to be to a branch of $F_{n}$.


If a tree T has rank 1 , then there is n such that no point of height more than $\kappa_{\mathrm{n}}$ has rank 1, so we can map T into $\mathrm{F}_{\mathrm{n}}$.

## Other cofinalities

Clearly, one cannot hope to get a rank with trees that have nothing to do with well-foundedness, for example for trees of singular cardinality $\kappa$ whose cofinality is uncountable. We still may ask if it the analogue of Theorem 2 (the universality number for bounded $\kappa$-Trees is $\kappa^{+}$).

The answer is negative. Namely, suppose e.g. $\operatorname{cf}(\kappa)=\omega_{1}$. Then we can to each bounded $\kappa$-Tree T associate its 'small twin' tw(T) so that $\operatorname{tw}(\mathrm{T})$ is an $\omega_{1}$-Tree with no uncountable branch, and if $T \leq T^{\prime}$ then $\operatorname{tw}(T) \leq t w\left(T^{\prime}\right)$. It is consistent that the universality number for bounded $\omega_{1}$-Trees is as large as desired (one can use a GMA).

## More on $\omega_{1}$

Mekler and Väänänen (1993) showed that it is consistent that the universality number of bounded $\omega_{1}$-Trees is $\omega_{2}$ while $2{ }^{\omega 1}>\omega_{2}$. (Preliminary work with Katherine Thompson indicates that is also consistent to have one universal bounded $\omega_{1}$-Tree.)

On the other hand, it is known that the universality number of bounded $\omega_{1}$-Trees can be $2{ }^{\omega 1}$ which can be as large as desired.

Therefore, using the twinning operator, the universality number of $\kappa$-Trees for $\kappa$ singular of cofinality $\omega_{1}$ cannot have a ZFC value $\kappa^{+}$.

## Boundedness theorems

The classical boundedness theorem in descriptive set theory is that, denoting by WO a complete $\Pi^{1}{ }_{1}$ set, then for every $\Sigma^{1}{ }_{1}$ subset A of WO we have that the sup of ordinals coded by is $<\omega_{1}$ Mekler and Väänänen (1993) gave a similar theorem for $\Sigma^{1}{ }_{1}$-subsets of the topological space ${ }^{\omega 1} \omega_{1}$, under CH. The topology here is generated by
$\mathrm{N}(\mathrm{f}, \xi)=\{\mathrm{g}: \mathrm{g}|\xi=\mathrm{f}| \xi\}, \mathrm{f}: \omega_{1} \rightarrow \omega_{1}, \xi<\omega_{1}$
and the set WO is replaced by the set TO which is a universal $\Pi^{1}{ }_{1}$ set of codes for $\omega_{1}$-Trees with no uncountable branch.

Theorem (Mekler and Väänänen)(CH) If $\mathrm{A} \subseteq$ TO is $\Sigma^{1}{ }_{1}$ then there is T in TO with $\mathrm{t} \leq \mathrm{T}$ for all t in A .
(2) (Dz. and Väänänen) Analog for $\kappa$ strong limit, $\mathrm{cf}(\kappa)=\omega$.

## Universality of graphs

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For $\kappa$ the successor of a regular it is consistent to have $u_{\kappa}<2^{\kappa}>\kappa^{+}$(Mekler, Shelah for $\aleph_{1}$, Dž. + Shelah in general).

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Theorem If $\kappa$ is a supercompact cardinal, $\lambda<\kappa$ is a regular cardinal and $\theta \geq \kappa^{+3}$ is a cardinal with $\operatorname{cf}(\theta) \geq \kappa^{++}$, then there is a cardinal preserving forcing extension in which $\operatorname{cf}(\kappa)=\lambda, 2^{\kappa}=2^{\kappa^{+}}=\theta \geq \kappa^{+3}$ and $u_{\kappa^{+}} \leq \kappa^{+2}$.

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Iterate a forcing which blows up the power of $\kappa$, builds the future universal graphs and controls the names in Radin forcing of graphs on $\kappa^{+}$. Radin forcing with respect to what, subsets of $\kappa$ are being added all the time? Well, a measure sequence is being constructed as we go. The universal family is obtained using a cofinal sequence in $\lambda$.

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For the rest of this section we fix an ultrafilter sequence $w$ with $\operatorname{lh}(w)>$ 1 , and write $\kappa$ for $\kappa_{w}$.

Definition 4.1. $M(w)$ is the forcing with conditions $p=(A, B)$, with:

- $A=\left\langle A_{\rho} \mid \rho<\rho^{p}\right\rangle$, where $\rho^{p}<\kappa$,
- $\forall \rho<\rho^{p}\left(A_{\rho} \subseteq \mathcal{U}_{\kappa} \& A_{\rho} \neq \emptyset \& \exists \kappa_{\rho}<\kappa \forall u \in A_{\rho} \kappa_{u}=\kappa_{\rho}\right)$,
- $\forall \rho<\rho^{p} \forall u \in A_{\rho} \forall \tau \in(0, \operatorname{lh}(u)) u \upharpoonright \tau \in A_{\rho}$,
- $\left\langle\kappa_{\rho} \mid \rho<\rho^{p}\right\rangle$ is strictly increasing,
- $B \in \mathcal{F}(w)$,
- $\forall v \in B \forall \tau \in(0, \operatorname{lh}(v))(v \upharpoonright \tau \in B)$, and
- $\operatorname{ssup}\left(\left\{\kappa_{\rho} \mid \rho<\rho^{p}\right\}\right) \leq \min \left(\left\{\kappa_{v} \mid v \in B\right\}\right)$.

Setting 4.4. Suppose $\mathcal{T}$ is a binary $\kappa^{+}$-tree with $\Upsilon$ many branches.
Definition 4.5. Let $\left\langle x_{\alpha} \mid \alpha<\Upsilon\right\rangle$ be an enumeration of a set of branches through $\mathcal{T}$. Let $\left\langle\dot{E}_{\alpha} \mid \alpha<\Upsilon\right\rangle$ be a list of canonical $\mathbb{R}_{w}$-names for binary relations on $\kappa^{+}$. We will use the sequences $\left\langle x_{\alpha} \mid \alpha<\Upsilon\right\rangle$ and $\left\langle\dot{E}_{\alpha} \mid \alpha<\Upsilon\right\rangle$ as parameters in the definition of the forcing $Q(w)$.
$Q^{*}(w)$ is the forcing with conditions $p=(A, B, t, f)$ satisfying the following four clauses.
(1) $(A, B) \in M(w)$ (see Definition (4.1)). We set $a=a^{(A, B)}$.
(2) $t \in[(a \cap \sup (a)) \times \Upsilon]^{<\kappa}$ and $f=\left\langle f_{\alpha}^{\eta} \mid(\eta, \alpha) \in t\right\rangle$. For $\eta \in$ $a \cap \sup (a)$, set $t^{\eta}=\{\alpha \mid(\eta, \alpha) \in t\}$.
(3) $\forall \eta \in a \cap \sup (a) \forall \alpha \in t^{\eta} d_{\alpha}^{\eta}=\operatorname{dom}\left(f_{\alpha}^{\eta}\right) \in\left[\kappa^{+}\right]^{<\kappa}$.
(4) $\forall \eta \in a \cap \sup (a) \forall \alpha \in t^{\eta} \forall \zeta \in d_{\alpha}^{\eta} \exists \nu<\kappa f_{\alpha}^{\eta}(\zeta)=\left(x_{\alpha} \upharpoonright \zeta, \nu\right)$.

If $p, q \in Q^{*}(w)$ then $q \leq p$ if $\left[A^{q}, B^{q}\right] \subseteq\left[A^{p}, B^{p}\right], t^{p} \subseteq t^{q}$ and $\forall(\eta, \alpha) \in t^{p}\left(f_{\alpha}^{\eta}\right)^{p} \subseteq\left(f_{\alpha}^{\eta}\right)^{q} ;$ and $q \leq^{*} p$ if $q \leq p$ and $A^{q}=A^{p}, t^{q}=t^{p}$ and $f^{q}=f^{p}$. (If $q \leq^{*} p$ we say $q$ is a direct extension of $p$.)
We write $Q(w)$ for the suborder of $Q^{*}(w)$ consisting of conditions which also satisfy:
(5) for all $\eta \in a \cap \sup (a)$, for all $\alpha, \beta \in t^{\eta}$, for every lower part $y$ for $\mathbb{R}_{w}$ harmonious with $A$ past $\eta$, and for all $\zeta, \zeta^{\prime} \in d_{\alpha}^{\eta} \cap d_{\beta}^{\eta}$ we have:

$$
\begin{aligned}
f_{\alpha}^{\eta}(\zeta)=f_{\beta}^{\eta}(\zeta) \neq f_{\alpha}^{\eta}\left(\zeta^{\prime}\right)=f_{\beta}^{\eta}\left(\zeta^{\prime}\right) \Longrightarrow \\
y \frown(w, B) \Vdash_{\mathbb{R}_{w}} " \zeta \dot{E}_{\alpha} \zeta^{\prime} \longleftrightarrow \zeta \dot{E}_{\beta} \zeta^{\prime} " .
\end{aligned}
$$

Combinatorics of singular cardinals

Mirna Džamonja

Some newer results

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The point is: *For all we know* $u_{\kappa^{+}}$might be $\kappa^{+}$(so 1) in our model (and these other models)!

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The good old proof with the Cohen reals does not seem to generalize in any sense!
*For all we know* $u_{\kappa^{+}}$might be $\kappa^{+}$(so 1 ) in every model, i.e. in ZFC!

# Cardinal invariants at singulars and their successors 

Combinatorics of singular cardinals

Mirna Džamonja

Conclusions

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We need a systematic study, involving also development if possible of forcing axioms.

## A Question suggested by Stevo

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This is a very general problem and has to do with the so called Rado conjecture (there one restricts to intersection graphs of linear orders).

## Foundational and philosophical remarks

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This is very pleasing and stands as a good answer, at least to me, to "what is the relevance of set theory in mathematics? Why work in ZFC and not in some other system".

