

PhD by Publication

1 A candidate for the degree of Doctor of Philosophy by Publication must be approved by the Director of Graduate Studies on the recommendation of the Board of one of the University's Schools of Studies on the basis of:

- (1) Possession of a degree from this or another approved university or of some other equivalent qualification approved by the Board;
- (2) The submission of evidence to the Board's satisfaction of published work of a type and nature which is consistent with the award of a degree at this level (in terms of the candidate's distinct ability to conduct original investigations, to test ideas whether the candidate's own or those of others and to understand the relationship(s) of the theme(s) of the investigations to a wider field of knowledge. The candidate is expected to have taken due account of previously published work on the subject. The work must show evidence of adequate industry and application of an extent characteristic of more than seven years' active research effort in the candidate's field. The work should represent a significant contribution to the development of understanding, for example, through the discovery of new knowledge, the connection of previously unrelated facts, the development of a new theory or the revision of older views.);
- (3) A nomination and statement of support for the candidate from a member of that Board who shall be obliged to confirm the existence of a common research interest with the nominee; and
- (4) The availability of suitable expertise in the School to advise and (if appropriate) examine the candidate.

2 Before being admitted to the degree of Doctor of Philosophy by Publication, the candidate must:

- (1) Study as a part-time student, under the guidance of teachers in the School appointed by the Board as the candidate's supervisory team, in accordance with these regulations;
- (2) Satisfy the examiners in such assessment as may be required by these regulations.

3 Candidates for the degree of Doctor of Philosophy by Publication will be designated as Category 'A' (including faculty of Schools and such other candidates as Senate shall determine) or Category B. For Category 'A' candidates references in these regulations to the Board of the School shall be interpreted as references to the Learning and Teaching Committee.

4 The period of study shall normally be six months, extendable at the discretion of the Board to a maximum of twelve months, beginning on 1 October, 1 January, 1 April or 1 July.

5 During the period of study a candidate shall prepare, with the guidance of the supervisory team, the following material:

- (1) A list of the publications on which the assessment for the degree is to be based;
- (2) An cases where multi-authored works are included in the submission, evidence (preferably in the form of written confirmation by at least one co-author per published work) of the candidate's own original contribution to the work in respect of:
 - (a) design of the investigation
 - (b) conduct of the research
 - (c) analysis of the outcome
 - (d) preparation of the work for publication;
- (3) A critical analysis of the work submitted (between 10,000 and 20,000 words in length covering the development of the candidate's submitted work and its contribution to the field in general).

6 The material listed in Regulation 5 must be submitted, along with the published work to be assessed, by the end of the period of study.

7 The Board of the School shall recommend for approval by the Senate the names of two examiners, one of whom shall be an external examiner. Any Category 'A' candidate for this degree shall be examined exclusively by external examiners.

8 A candidate shall be examined orally (normally within three months of the date of submission) on the candidate's submitted material and on subjects relevant to it. In order to recommend the award of the degree, the examiners must be satisfied that the submitted material:

- (1) Illustrates a coherent programme of research undertaken by the candidate;
- and (2) Represents a significant contribution to understanding.

9 The examiners having examined the candidate shall send their reports and recommendations to the Board of the School concerned. If the examiners do not agree upon their recommendation or if for any other reason the Board of the School needs a further opinion, the Board shall recommend to the Senate the appointment of an additional external examiner who shall conduct a further examination of the candidate. For the duration of this process, the School Director of Learning and Teaching shall act in place of the Head of the School as Chair of the Board of the School.

10 The Board of the School having considered the reports and recommendations of all the examiners shall then proceed in one of the

following ways:

- (1) If the published work, critical analysis and performance in the oral examination are of the standard required for the degree in all respects, it shall recommend that the candidate be approved for the award of the degree;
- (2) If the examiners have considered that
Either (a) the critical analysis is deficient
and/or (b) the criteria for the award as set out in Regulation 8 above are not yet met but there is a reasonable expectation that they may be fulfilled if further publication(s) are achieved within twenty-four months, the Board shall recommend that the candidate be permitted an opportunity to make a revised submission within a period proposed by the examiners (not to exceed twenty-four months from the date of assessment). A candidate who is permitted and wishes to make a revised submission under this regulation:
 - (a) shall be required to pay a reassessment fee
 - (b) may be required to undergo a further oral examination at the discretion of the examiners
 - (c) shall not be allowed to make a revised submission on more than one occasion;
- (3) If the examiners have considered that the criteria for the award are not met and that there is no reasonable expectation of their fulfilment within 24 months from the date of assessment, they shall recommend that no degree be awarded and that no reassessment be offered;

In all cases the recommendations of the Board of the School shall be laid before the Registrar and Secretary who shall ensure that appropriate action is taken on behalf of the Senate.

11 In cases where a candidate is reassessed, the Board of the School having considered the reports and recommendations of all the examiners shall then proceed in one of the following ways:

- (1) If a candidate's performance in the reassessment has reached the standard required for the degree in all respects, it shall recommend that the candidate be approved for the award of the degree;
- (2) If a candidate's performance in the reassessment has not reached the standard required for the degree, it shall recommend that the degree be not awarded.

12 The candidate shall submit two copies of all material required to be assessed under these regulations. If the candidate is approved for the award of the degree, the Registrar and Secretary shall deposit one copy of the submitted material in the University library where it can be available for consultation. The second copy of the submission shall be deposited with the School of Studies concerned.