



2 Sunspots, El Niño, and the levels of Lake Victoria, East Africa

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5 [1] An association of high sunspot numbers with rises in the level of Lake Victoria, East
6 Africa, has been the focus of many investigations and vigorous debate during the last
7 century. In this paper, we show that peaks in the ~11-year sunspot cycle were
8 accompanied by Victoria level maxima throughout the 20th century, due to the occurrence
9 of positive rainfall anomalies ~1 year before solar maxima. Similar patterns also occurred
10 in at least five other East African lakes, which indicates that these sunspot-rainfall
11 relationships were broadly regional in scale. Although irradiance fluctuations associated
12 with the sunspot cycle are weak, their effects on tropical rainfall could be amplified
13 through interactions with sea surface temperatures and atmospheric circulation systems,
14 including ENSO. If this Sun-rainfall relationship persists in future, then sunspot cycles can
15 be used for long-term prediction of precipitation anomalies and associated outbreaks of
16 insect-borne disease in much of East Africa. In that case, unusually wet rainy seasons and
17 Rift Valley Fever epidemics should occur a year or so before the next solar maximum,
18 which is expected to occur in 2011–2012 AD.

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22 1. Introduction

23 [2] Paleoclimate records offer abundant evidence that
24 variability in the amount of energy emitted by the Sun
25 has significantly affected climates in and around East Africa
26 during much of the late Quaternary. Sediment cores suggest
27 a solar origin for the desiccation of Lake Victoria ~15,000
28 years ago [Stager *et al.*, 2002], speleothem records from
29 Oman show that rainfall there increased with solar activity
30 between 9600 and 2700 years ago [Neff *et al.*, 2001;
31 Fleitmann *et al.*, 2003], solar signals appear in Arabian
32 Sea monsoonal records [Jung *et al.*, 2002; Staubwasser *et al.*,
33 2002; Gupta *et al.*, 2005], and Holocene rhythmites
34 from lakes Magadi and Tanganyika display periodicities
35 similar to those of the ~11-year sunspot cycle [Damnati
36 and Taieb, 1995; Cohen *et al.*, 2006]. During the last
37 millennium, the levels of lakes Naivasha and Victoria
38 fluctuated in concert with changes in atmospheric radiocar-
39 bon concentrations that were probably driven by solar
40 variability [Verschuren *et al.*, 2000; Stager *et al.*, 2005].
41 Coral records of recent Indian Ocean sea surface temper-
42 atures display significant ~11-year periodicities [Cole *et al.*,
43 2000; Charles *et al.*, 1997], as do Nile flood heights
44 [Hameed, 1984], Oman speleothem records [Fleitmann *et*

al., 2003], and both Ethiopian and Indian rainfall series 45
[Wood and Lovett, 1974; Bhattacharyya and Narasimha, 46
2005]. Solar cycles of ~88 and 200-year duration are also 47
present in the Nile flood record [Ruzmaikin *et al.*, 2006]. 48

[3] Paleoclimatic evidence for solar influences on cli- 49
mates is also common in regions outside of Africa. A 50
comprehensive survey is beyond the scope of this paper 51
but we list several examples here: irradiance disruptions 52
have been linked to droughts in Central America [Hodell *et* 53
al., 2001], lake level changes in Switzerland [Magny, 1993], 54
ice rafting in the North Atlantic [Bond *et al.*, 2001], and 55
various climatic changes over Scandinavia [Karlén and 56
Kuylenstierna, 1996], Greenland [Mayewski *et al.*, 1997], 57
Chile [van Geel *et al.*, 2000], North America [Anderson, 58
1992], and China [Wang *et al.*, 2005]. 59

[4] In light of these findings, one might also expect to 60
find solar variability influencing East African weather 61
today. However, suggestions that sunspot-climate links 62
occur in modern times have often been met with skepticism 63
[Hurst, 1952; Pittock, 1978; Hoyt and Schatten, 1997; 64
Foukal *et al.*, 2006]. These reactions generally stem from 65
the temporal intermittency of Sun-climate relationships, a 66
lack of understanding about causal mechanisms, politicized 67
skeptics who attribute current global warming to solar 68
forcing alone, and the seemingly overwhelming influence 69
of El Niño on East African precipitation [e.g., Nicholson, 70
2000]. However, recent studies clearly show that the solar 71
cycle influences stratospheric and tropospheric weather 72
systems, thereby providing a new conceptual framework 73
within which to reconsider the evidence for solar influences 74
on climate [Labitzke and van Loon, 1997; Svensmark and 75
Friis-Christensen, 1997; Raspopov *et al.*, 1998; Shindell *et* 76
al., 1999; Haigh, 2001; Kodera and Kuroda, 2002; Rind, 77
2002; Ruzmaikin and Feynman, 2002; Gleisner and Thejll, 78

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79 2003; *Coughlin and Tung*, 2004; *Higginson et al.*, 2004;
80 *van Loon et al.*, 2004].

81 [5] In this paper, we present strong evidence that decadal
82 solar variability does indeed influence modern East African
83 rainfall, by examining historical records of sunspot num-
84 bers, precipitation, and the levels of East African lakes during
85 the 20th century. We focus primarily on the following
86 questions: (1) What is the relationship between rainfall
87 and the levels of Lake Victoria? (2) What sunspot-lake
88 and sunspot-rainfall associations existed in East Africa
89 during the 20th century? (3) How might solar variability
90 influence East African rainfall?

91 2. Historical Background

92 [6] Relationships between sunspot numbers and the
93 levels of Lake Victoria have been presented as examples
94 of both the reality and the nonexistence of Sun-climate
95 connections. Because that conflicted legacy continues to
96 complicate discussion of the topic, we summarize major
97 features of it here.

98 [7] *Brooks* [1923] was among the first to describe an
99 association between the levels of Lake Victoria and the
100 abundance of sunspots associated with the ~ 11 -year solar
101 cycle. Strong positive correlations (~ 0.9) between lake
102 surface levels and sunspot numbers spanned the period
103 from 1902 to 1921 AD at Lake Victoria, and a similar but
104 weaker relationship was also found for Lake Albert
105 (Figure 1). For a time, the case of Lake Victoria was
106 considered to be a classic example of solar influences on
107 lake level fluctuations.

108 [8] After circa 1927 AD, however, these Sun-lake corre-
109 lations weakened and reversed sign because additional lake
110 level rises developed during intervening sunspot minima.
111 As a result, later papers purported to show, albeit with some
112 exceptions [*Cochrane*, 1964], that the presumed Sun-lake
113 connection was simply a misleading statistical artifact
114 [*Walker*, 1936; *Hurst*, 1952; *Hoyt and Schatten*, 1997].

115 [9] Published spectral analyses have offered little or no
116 evidence of decadal signals in East African rainfall patterns,
117 and shorter periodicities associated with El Niño–Southern
118 Oscillation (ENSO) and Indian Ocean Dipole events [*Saji et al.*, 1999] explain much of the region's high-frequency rainfall variability during the 20th century. These events tend to warm western Indian Ocean sea surface temperatures and contribute to positive rainfall anomalies in East Africa [*Rohde and Virji*, 1979; *Nicholson*, 2000; *Mistry and Conway*, 2003; *Behera et al.*, 2005]. In this context, the possibility of significant solar forcing has been virtually ignored in many, though not all [*Mason*, 1993, 1998, 2006], of the recent climatological studies in this region.

128 [10] Newer observational data from Lake Victoria
129 [*Sutcliffe and Parks*, 1999] now show that a striking
130 correspondence between peaks in sunspot numbers and lake
131 level pulses recommenced circa 1968 AD (Figure 2). Visual
132 examination of the record reveals a close association of
133 solar maxima with lake level pulses throughout the century.
134 Even between circa 1927 and 1968 AD, when additional
135 lake pulses between solar maxima canceled the Sun-lake
136 correlations, every sunspot peak coincided with a rise in the
137 level of Lake Victoria (Figure 2).

[11] The same Sun-lake relationship probably existed 138
before the 20th century, as well; reconstructions of Victoria 139
levels derived from anecdotal reports and from Nile dis- 140
charge records [*Tate et al.*, 2001] suggest that moderate to 141
large lake level pulses also coincided with the solar maxima 142
of 1883–1884 and 1893–1894 AD. 143

[12] This relationship is complicated by a lack of corre- 144
spondence between the relative magnitudes of sunspot and 145
lake level maxima, and by the sporadic development of 146
additional lake level rises that were not clearly related to 147
solar variability. As a result, simple linear correlations 148
between sunspot numbers and lake levels during the 20th 149
century as a whole are low. Only when broken down into 150
shorter time periods, such as individual sunspot pulses 151
(Table 1) or the first and last 30-year subsets of the 152
detrended lake level series (r -squared 0.60 and 0.27, 153
respectively), do linear correlations seem to support the 154
visually obvious connection. 155

156 3. Rainfall and Lake Levels 157

[13] We now describe Lake Victoria's physical and cli- 158
matic setting in order to show how its surface levels respond 159
to regional rainfall patterns. 160

[14] The lake is located astride the equator (Figure 1) and 161
is enormous but relatively shallow ($SA = \sim 68,000$ km², 162
max. depth ~ 80 m [*Crul*, 1995]). The largest tributary is the 163
Kagera River, which drains 60,000 km² of the Ruanda- 164
Burundi highlands, and the only major outflow exits 165
through the Nile outlet at Jinja, Uganda. Most of Lake 166
Victoria's water enters and leaves via the atmosphere [*Piper et al.*, 1986]. The Owen Falls (Nalubaale) dam was built in 168
the mid-1950s AD on the Nile outlet, and was managed so 169
as to have negligible effects on 20th century lake levels 170
[*Kite*, 1981], though that practice was discontinued recently 171
[*Mugabe and Kisambira*, 2006]. 172

[15] Most precipitation in the region is associated with the 173
seasonal passage of the Intertropical Convergence Zone 174
(ITCZ) during the so-called “long rains,” in March–May, 175
and the “short rains of October–December. NCEP-NCAR 176
reanalysis [*Kalnay et al.*, 1996] suggests that precipitation 177
in the Victoria watershed derives primarily from the southern 178
Indian Ocean, but Atlantic Ocean moisture also enters from 179
the Congo basin [*Latif et al.*, 1999; *Nicholson*, 2000]. In 180
addition, a thermally driven nocturnal convection cell over 181
the lake recycles an important but as yet unquantified 182
fraction of the annual precipitation inputs [*Flohn and 183*
Burkhardt, 1985]. 184

[16] Hydrological modeling shows that the levels of Lake 185
Victoria are determined primarily by the amount of rain 186
falling within the catchment [*Nicholson et al.*, 2000; 187
Nicholson and Yin, 2001; *Tate et al.*, 2004]. For example, 188
a major lake level rise after 1961 AD (Figure 3) was 189
initiated by unusually heavy and prolonged, continent-wide 190
precipitation [*Grove*, 1998]. The sustained high levels that 191
followed were probably due to rainfall increases associated 192
with increased Indian Ocean Dipole activity and reduced 193
evaporation related to global insolation declines [*Conway*, 194
2002; *Wild et al.*, 2005]. 195

[17] The relationship between rainfall and lake levels, 196
however, is complex, and their correlation for the entire 197
20th century was only 0.4 [*Mistry and Conway*, 2003]. 198

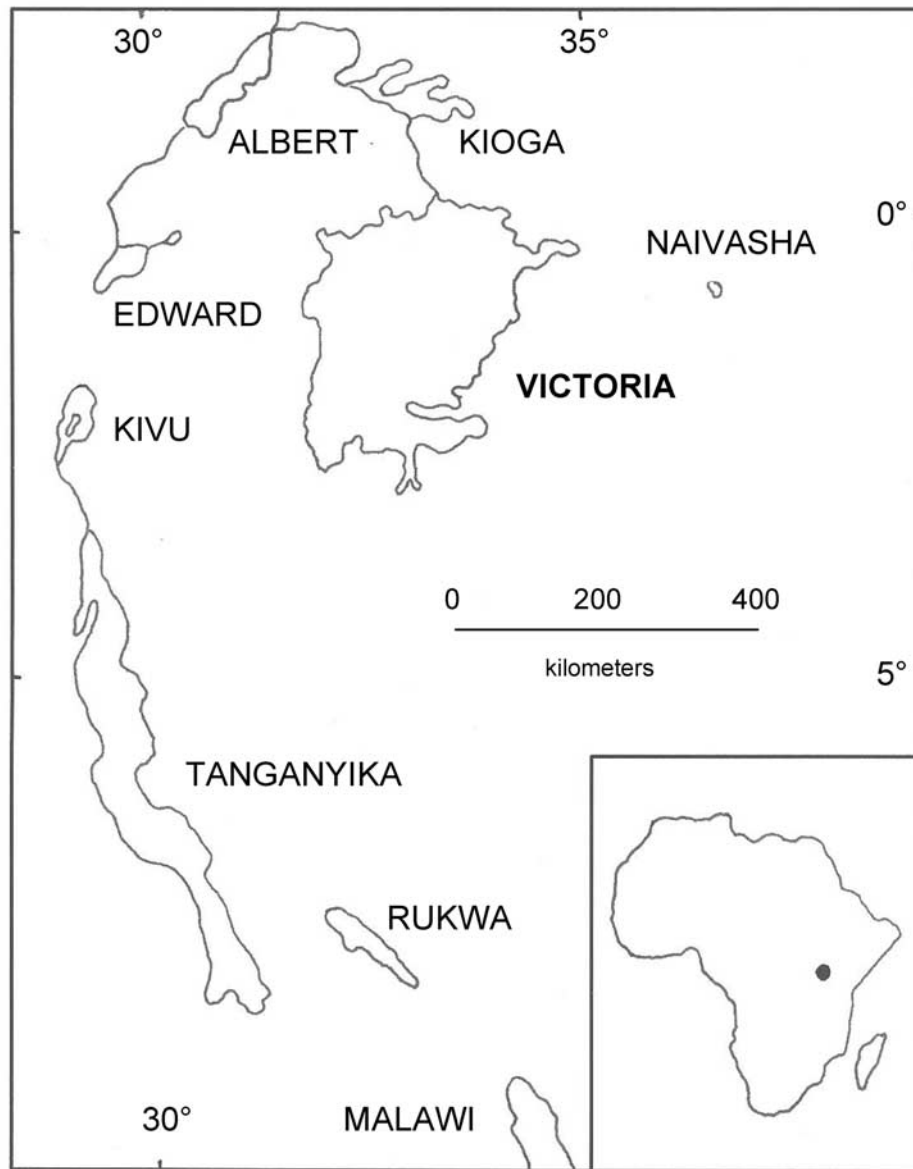


Figure 1. Map of East Africa showing lakes mentioned in this study. Inset shows Africa, with location of Lake Victoria indicated.

199 Because solar influences are transmitted to lake levels
 200 through rainfall, this might help to explain why sunspot-
 201 lake level correlations are not stronger. Much of this
 202 complexity stems from the sheer size of the watershed, as
 203 a short subset of the monthly rainfall series illustrates
 204 (Figure 4) [Conway, 2005]. Between 1910 and 1920 AD,
 205 the lake tended to rise immediately in response to precipita-
 206 tion on its surface but more slowly and significantly, with lags
 207 on the order of 1–2 years, to delayed runoff from the
 208 watershed. Such delays, during which evaporation could
 209 significantly influence water budgets, also contributed to
 210 differences in the relative magnitudes of rainfall and lake
 211 level fluctuations. In addition, the two annual rainy seasons
 212 affected the lake differently, with the long rains (March–
 213 May) producing larger lake pulses, on average, than the short
 214 rains did (October–December).

[18] Although decade-scale oscillations in the levels of
 215 Lake Victoria lake level primarily reflect localized rainfall
 216 inputs (Figure 3), they are also indicative of precipitation
 217 patterns over a much wider area. During the last 50 years,
 218 for example, all of Kenya’s major outbreaks of Rift Valley
 219 Fever, which are triggered by heavy rains that increase
 220 mosquito breeding habitats, coincided with pulses in the
 221 level of Lake Victoria (Figure 5, asterisks) [Linthicum *et al.*,
 222 1999]. Concurrent multiyear pulses also occurred in the
 223 levels of Lakes Tanganyika and Naivasha (Figure 5), as well
 224 as in Lakes Turkana, Albert, and Malawi [Brooks, 1923;
 225 Verschuren, 2003], despite markedly disparate long-term
 226 trends, and rainfall in the Ethiopian highlands increased
 227 during sunspot peaks between 1900 and 1970 AD [Wood
 228 and Lovett, 1974].
 229

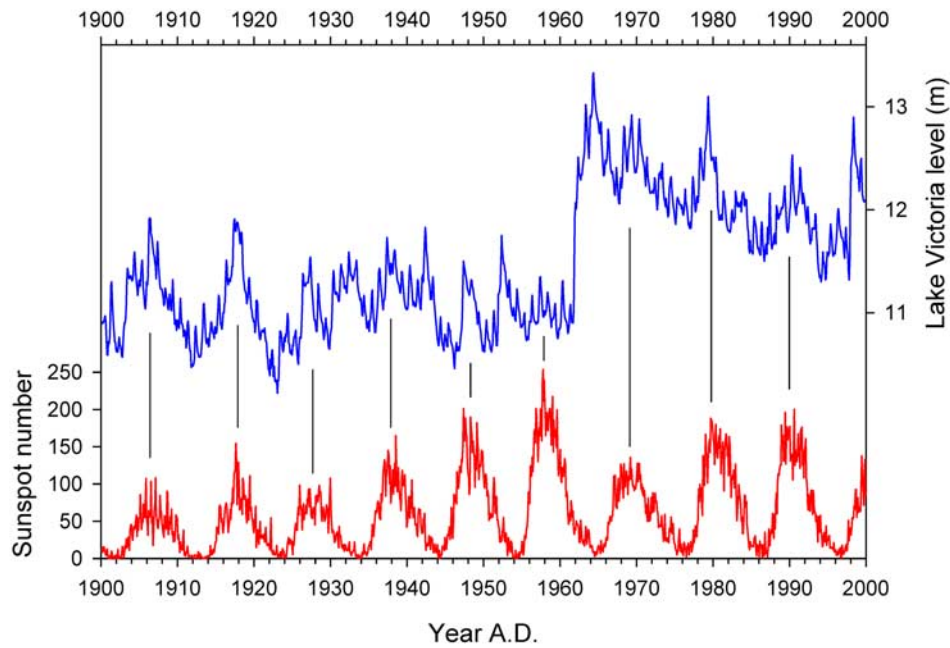


Figure 2. Monthly levels of Lake Victoria measured at Jinja, Uganda [Sutcliffe and Parks, 1999], and monthly sunspot numbers during the 20th century.

230 [19] In summary, because changes in the levels of Lake
 231 Victoria generally reflect rainfall patterns within the catch-
 232 ment, and because most lakes in the region display similar
 233 short-term lake level changes, we conclude that the Victoria
 234 lake level series accurately records the pace, if not neces-
 235 sarily the exact magnitudes, of decade-scale precipitation
 236 fluctuations over most of East Africa during the 20th
 237 century.

238 **4. Solar-Type Signals at Lake Victoria**

239 [20] To better discuss the relative timing of maxima in
 240 rainfall, lake levels, and sunspot numbers, the most prom-
 241 inent decade-scale pulses in the Victoria lake level series are
 242 numbered sequentially in Figure 6. Their approximate dates
 243 are also listed in Table 2, along with those of rainfall and
 244 sunspot peaks and of potentially relevant El Niño and
 245 Indian Ocean Dipole events.

246 [21] All nine of the 20th century’s sunspot maxima
 247 coincided with multiannual lake level pulses, a visually
 248 prominent pattern upon which most debate over Sun-lake
 249 associations centers (Figure 6). Pulses 4, 6, 8, 10, 13, and 15
 250 occurred outside of the sunspot maximum envelopes
 251 (Figure 6 and Table 2) and are attributable to known
 252 El Niño and/or Indian Ocean Dipole events. Lake pulses
 253 4, 6, 8 and 10 were most directly responsible for the decay
 254 of Sun-lake correlations in midcentury.

255 [22] Figure 7 shows that the co-occurrence of lake level
 256 pulses with all nine sunspot peaks of the 20th century
 257 (numbered bold in Table 2) resulted from a variably lagged
 258 relationship between sunspot numbers and rainfall in which
 259 the precipitation maxima that caused major lake pulses
 260 usually preceded sunspot peaks by about a year. A similar
 261 tendency for positive rainfall anomalies to slightly precede

sunspot peaks existed in Ethiopia during the 20th century, as
 well [Wood and Lovett, 1974].

[23] Figure 7 also illustrates the relatively loose relation-
 ships between the magnitudes, and sometimes even the
 timing, of El Niño fluctuations and their associated rainfall
 disruptions in East Africa, which weakens linear correla-
 tions between them (the NINO4 index is used here to
 represent ENSO activity because it is more strongly corre-
 lated with climatic parameters in much of East Africa than
 other indices are [Plisnier et al., 2000]). We mention this
 complexity in the widely accepted ENSO teleconnection
 because, in our experience, similar nonlinearities in sunspot-
 lake level relationships are sometimes believed to negate the
 existence of Sun-climate linkages.

[24] Fourier-based spectral analyses of rainfall series from
 the Victoria watershed, including our own (not shown), do
 not reveal highly significant power in the 11-year band
 [Rohde and Virji, 1979; Vincent et al., 1979]. However,
 Figure 7 shows that sunspot cycles consistently overlaid
 multiannual rainfall maxima with a 1–2 year phase lag.
 This demonstrates that decadal patterns are indeed present
 within precipitation time series in the Victoria watershed.
 The difficulty in detecting them with linear correlations or

Table 1. Correlations for Lake Victoria Levels Versus Sunspot
 Numbers During the First and Last Three Solar Cycles of the 20th
 Century

Solar Cycle Number	Period (AD)	Correlation R ² (P Value)	t
14	1902–1913	0.72 (0.0005)	t1.1
15	1913–1923	0.86 (<0.0001)	t1.4
16 (partial)	1923–1927	0.75 (0.008)	t1.5
20 (partial)	1969–1976	0.91 (<0.0001)	t1.6
21	1976–1986	0.46 (0.0003)	t1.7
22	1986–1996	0.60 (0.0001)	t1.8

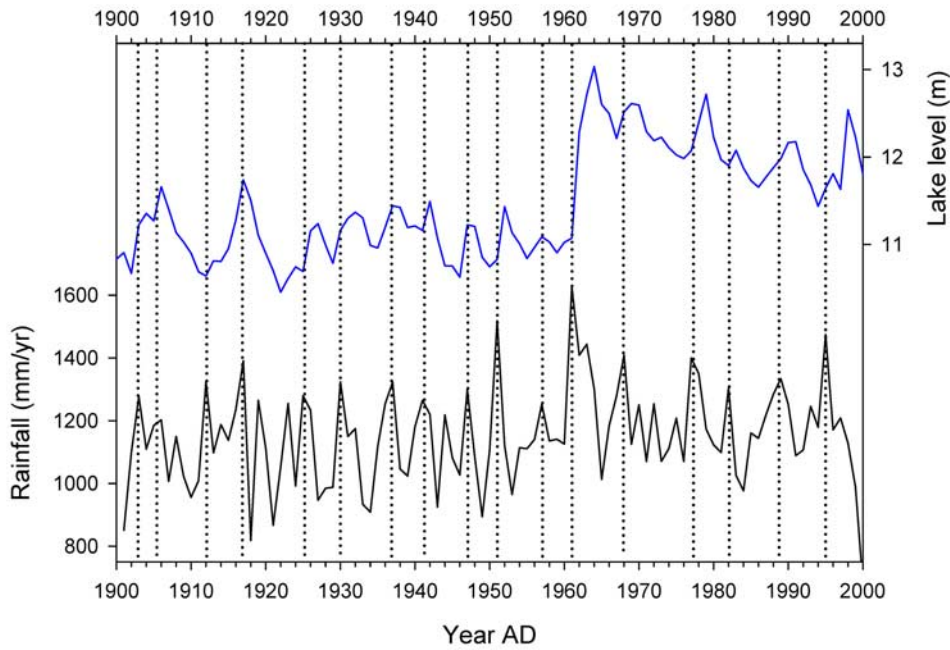


Figure 3. Mean annual Victoria lake levels and rainfall with 3-year smoothing. Dotted lines indicate the peaks of high-rainfall episodes that were responsible for the major lake level pulses.

285 Fourier-based spectral analyses can be attributed to insta-
 286 bility in the strength and timing of solar influences on
 287 climates as well as to the confounding effects of higher-
 288 frequency signals, chaotic “noise,” and the anomalously
 289 wet 1961–1964 AD period.
 290 [25] To help bypass the tendency of high-frequency and
 291 nonstationary oscillations to reduce the power of decadal
 292 periodicities, and to reveal the time dependence of the

spectral content, we subjected the monthly rainfall, NINO4 293
 index, and lake level records of the 20th century to wavelet 294
 analysis, which better captures the time-varying nature 295
 of periodicities (Figure 8; wavelet software courtesy 296
 of C. Torrence and G. Compo, [http://paos.colorado.edu/](http://paos.colorado.edu/research/wavelets/) 297
 research/wavelets/). 298
 [26] Our results show that the precipitation series is 299
 dominated by the overwhelming influence of annual rainy 300

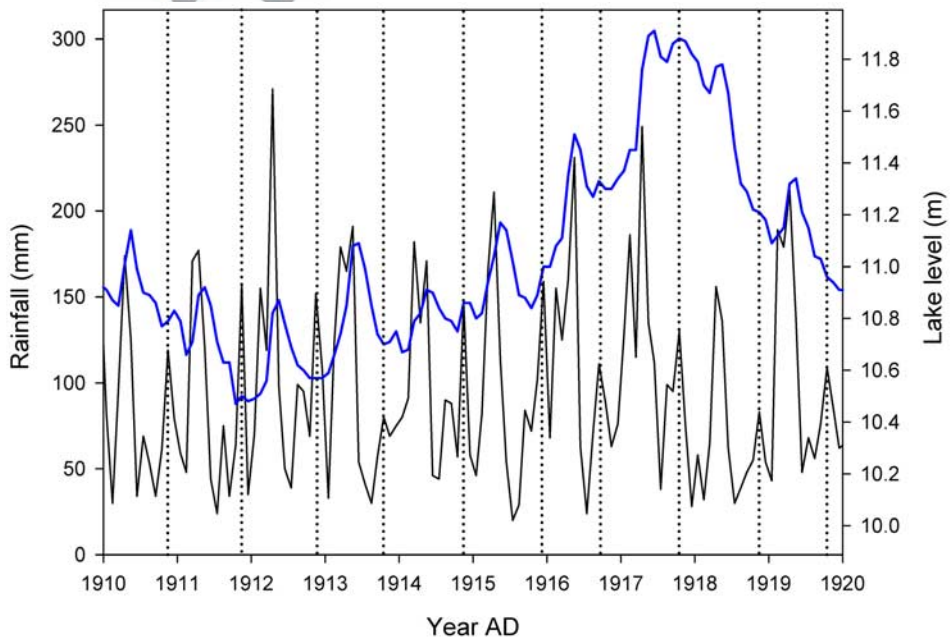


Figure 4. Monthly levels of Lake Victoria and rainfall in the watershed between 1910 and 1920 AD. Dotted vertical lines indicate October–December “short rains,” which appear to have had less immediate effects on lake levels than the March–May “long rains” (not marked).

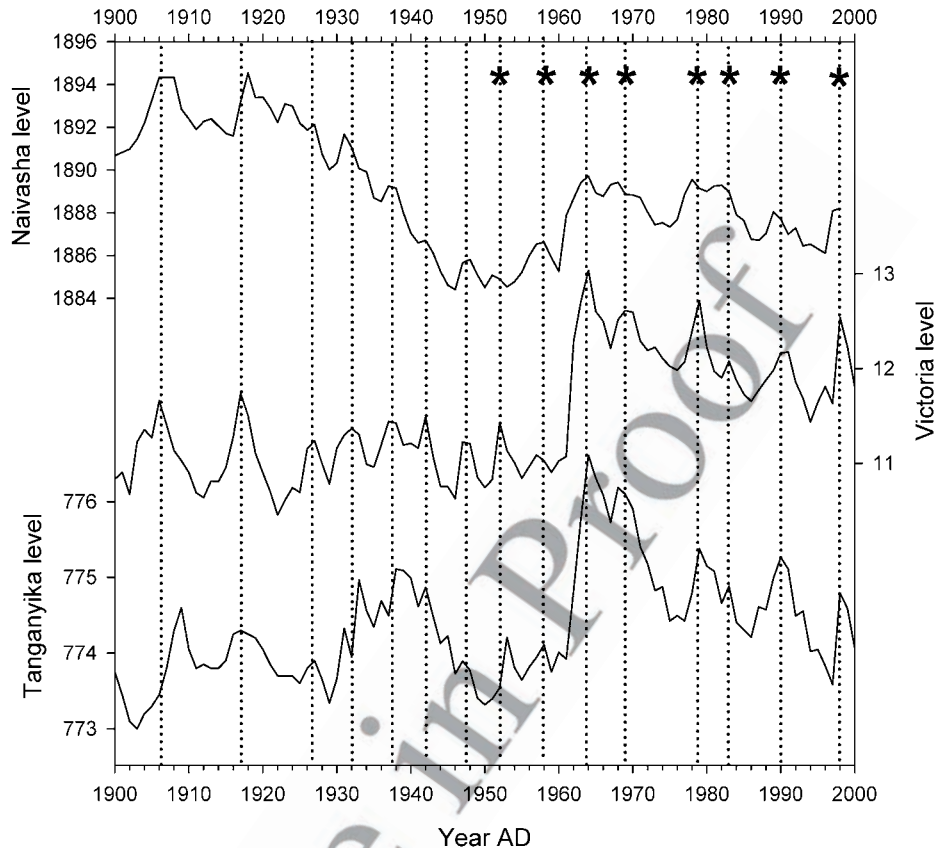


Figure 5. Mean annual levels of Lakes Naivasha, Victoria, and Tanganyika during the 20th century. Dotted lines show the synchrony of multiannual lake level pulses among the three lakes despite notable differences in longer-term trends. Asterisks indicate major outbreaks of Rift Valley Fever, which lagged rainfall peaks much as lake levels did [Linthicum *et al.*, 1999]. Victoria and Naivasha data courtesy of J. Sutcliffe and R. Becht, respectively.

301 season cycles (Figure 8). The NINO4 index displays
 302 significant power in the classic ENSO frequency range
 303 and also in the decadal, sunspot-type range. The monthly
 304 lake level series displays significant power in the decadal
 305 sunspot band as well as at lower frequencies. We therefore
 306 conclude that the visually obvious association of lake level
 307 pulses with sunspot cycles reflects a statistically significant
 308 relationship, as well.

309 5. Seasonality of Rainfall Anomalies

310 [27] Comparison of monthly values in the Victoria rain-
 311 fall series that represent different phases of the solar cycle
 312 shows that precipitation varied significantly with solar
 313 irradiance only during rainy season months. Mean October
 314 rainfall (“short rains”) in the full 20th century time series
 315 was heavier in 5-year windows centered on solar maxima
 316 (92 versus 78 mm) than in windows centered on solar
 317 minima; no other statistically significant differences were
 318 found using 5-year windows. With shorter, 3-year windows,
 319 mean November rainfall (“short rains”) was higher imme-
 320 diately following solar maxima (138 versus 112 mm), and
 321 mean March rainfall (“long rains”) was higher immediately

preceding solar maxima than at other times (154 versus 322
 132). 323

[28] The rainfall anomalies that were responsible for 324
 Victoria’s decadal Sun-lake association often began with 325
 an enhancement of the duration and intensity of the October– 326
 December rains, which is consistent with earlier observa- 327
 tions that much of the region’s precipitation variability is 328
 due to fluctuations in the duration or intensity of the short 329
 rains [Nicholson, 2000]. In addition, these analyses suggest 330
 that solar variability influences East African precipitation 331
 through changes in the ITCZ, which only comes to the 332
 region during the two annual rainy seasons. 333

[29] NCEP-NCAR rainfall reconstructions covering 1950– 334
 1999 AD show that rainy season precipitation over East 335
 Africa was heavier than usual during 2-year time blocks 336
 positioned on, and 1 year before, the peaks of solar cycles 337
 19–22, and that positive rainfall anomalies during March– 338
 May were more widespread and pronounced than those 339
 during October–December (Figure 9). Positive March– 340
 May rainfall anomalies also occurred in the vicinities of 341
 lakes Turkana, Albert, Naivasha, Tanganyika, and Malawi, 342
 all of which displayed short-term level fluctuations similar to 343
 those of Victoria during the 20th century. 344

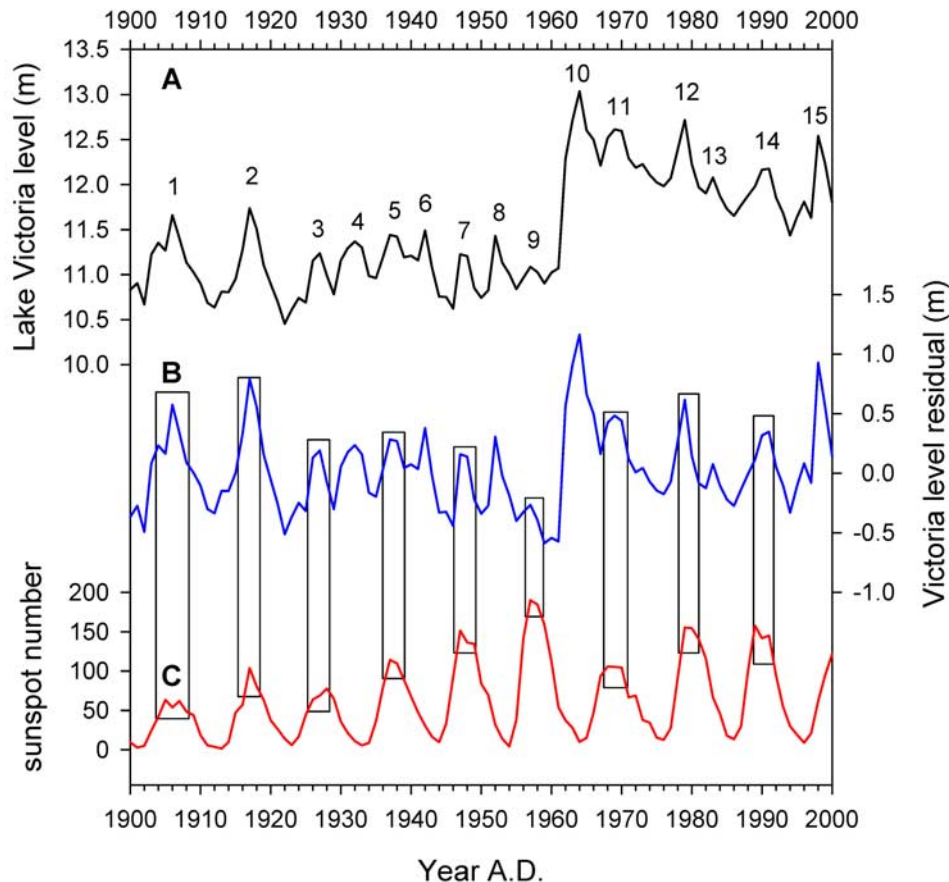


Figure 6. (a) Mean annual levels of Lake Victoria with pulses 1–15 labeled. (b) Victoria lake level residuals with long-term trend removed. (c) Annual sunspot number (SSN).

345 [30] From these findings, we conclude that (1) the Victoria
 346 lake level series displayed oscillations with periods, timing,
 347 and signs similar to those of the sunspot cycle during the
 348 20th century; (2) the rainfall increases that triggered the
 349 Sun-coincident lake level pulses occurred during or shortly
 350 before sunspot peaks; and (3) rainfall anomalies were
 351 usually most pronounced during the March–May long
 352 rains.

353 6. Possible Causal Mechanisms

354 [31] It is beyond the scope of this paper to conclusively
 355 identify causal mechanisms behind the sunspot-rainfall
 356 associations in and around the Lake Victoria basin.
 357 However, we will now outline several ways in which
 358 weak decadal solar irradiance changes [Lean and Rind,
 359 1998] could, in theory, trigger significant tropical rainfall
 360 anomalies.

361 [32] Perhaps the simplest mechanism would be the addi-
 362 tive amplification of small thermal effects. Solar warming of
 363 land or water surfaces could enhance local convection and
 364 precipitation over the Victoria catchment. In addition, solar
 365 maxima slightly warm the troposphere over most of the
 366 planet [Coughlin and Tung, 2004]; by increasing marine
 367 evaporation and the moisture retention capacity of the air,
 368 this could raise the water vapor content of onshore winds
 369 that blow over East Africa. Higher humidity, in turn, could

increase rainfall within the ITCZ and simultaneously reduce
 370 evaporation, thereby raising lake levels. Solar maxima can
 371 also intensify Hadley circulation within the ITCZ and
 372 deepen the landward penetration of African monsoons
 373 [van Loon *et al.*, 2004]. Because solar maxima reduce
 374 cosmic ray fluxes, they might also reduce cloud cover, thus
 375 increasing insolation on land and sea surfaces [Svensmark
 376 and Friis-Christensen, 1997; Carslaw *et al.*, 2002].
 377

[33] Higher sea surface temperatures (SST) in the western
 378 Indian Ocean tend to increase rainfall over equatorial East
 379 Africa, particularly during El Niño and Indian Ocean dipole
 380 events that disrupt zonal SST gradients in the tropical
 381 oceans [Nicholson and Kim, 1997; Nicholson, 2000; Black
 382 *et al.*, 2003; Mistry and Conway, 2003]. Solar variability
 383 might likewise affect tropical SSTs through direct ocean
 384 heating and/or disruptions of atmospheric circulation sys-
 385 tems. For example, White *et al.* [1997] have shown that
 386 warm SST anomalies occur in the tropical Indian and
 387 Atlantic Oceans shortly after solar maxima, causing SST
 388 oscillations as high as 0.15–0.30°K through direct heating
 389 alone. In addition, ~11 year periodicities in Indian Ocean
 390 coral records [Cole *et al.*, 2000; Charles *et al.*, 1997]
 391 provide additional evidence that solar cycle influences on
 392 tropical SSTs could have contributed to decadal rainfall
 393 variability in East Africa during the 20th century.
 394

[34] We have already shown that most of the decadal
 395 rainfall maxima in the Victoria watershed and elsewhere in
 396

t2.1 **Table 2.** Main Victoria Lake Level Pulses With the Approximate Dates of Lake Level Peaks, Rainfall Pulses, and Sunspot Maxima, With Warm ENSO (NINO4) and Indian Ocean Dipole (IOD) Excursions [Conway et al., 2007] That Could Have Triggered Rainfall Anomalies During or Just Prior to These Lake Level Maxima^a

t2.2	Lake Peak	Lake	Rainfall	Sunspots	NINO4	IOD
t2.3	1	1906–1907	1905–1006	1905–1907	1904–1905	1905–1906
t2.4	2	1917–1918	1916–1917	1917–1919	1912–1914	1913–1915
t2.5	3	1926–1927	1925–1926	1927–1929	1925	1923–1925
t2.6	4	1931–1933	1930–1932	no sunspot peak	1929–1930	no IOD peak
t2.7	5	1937–1938	1935–1937	1937–1939	1935–1936	1934–1935
t2.8	6	1942	1941–1942	no sunspot peak	1939–1941	1939, 1941
t2.9	7	1947–1948	1947	1947–1949	1946	1946
t2.10	8	1952	1951	no sunspot peak	1951	1949, 1951
t2.11	9	1957–1958	1957	1957–1959	1957–1958	no IOD peak
t2.12	10	1962–1966	1961–1964	no sunspot peak	1960, 1963	1961–1963
t2.13	11	1968–1970	1967–1968	1968–1970	1968–1969	1966–1967
t2.14	12	1978–1980	1977–1978	1979–1981	1976–1977	1976–1977
t2.15	13	1983	1982	no sunspot peak	1982–1983	1982
t2.16	14	1990–1991	1987–1990	1989–1991	1986–1987	1985–1988
t2.17	15	1998–1999	1997	no sunspot peak	1997	1997

t2.18 ^aBold numbers indicate sunspot peaks of the 20th century.

397 East Africa preceded sunspot peaks by a year or so.
 398 Therefore, if direct, cyclic solar heating of tropical oceans
 399 was their primary cause, then it seems that each rainfall
 400 peak might have to have been caused by insolation maxima
 401 that occurred nearly a decade earlier (see above). However,
 402 we are doubtful that solar SST anomalies could persist that
 403 long in the Indian Ocean. Alternatively, marine warming
 404 might somehow have increased East African rainfall 2–
 405 3 years before peak SSTs were reached.
 406 [35] Recent studies of solar influences on atmospheric
 407 circulation show that solar variability affects high-altitude
 408 winds through the absorption of ultraviolet (UV) radiation
 409 by ozone, and that stratospheric disturbances can be trans-
 410 mitted to ground levels through downward propagating
 411 waves in the tropopause [Baldwin and Dunkerton, 1998;
 412 Coughlin and Tung, 2004]. Hameed and Lee [2005] found

that stratospheric perturbations are more likely to reach the
 Earth’s surface during solar maxima than during solar
 minima. Ruzmaikin et al. [2006], suggested that meridional
 SST gradients in the Indian Ocean are influenced by the
 North Atlantic Oscillation (NAO), particularly during peri-
 ods of high solar activity when the NAO’s effects are felt on
 a more hemispheric scale and persist for longer periods
 [Kodera, 2003]. Variations in UV flux modulate fluctua-
 tions in stratospheric ozone and temperature gradients
 which influence interactions between zonal winds and
 planetary waves. These, in turn, affect the Northern Annular
 Mode and the associated NAO [Limpasuvan and Hartmann,
 2000]. During negative phases of the NAO, an anomalous
 ascending airflow in the upper troposphere prevails over
 equatorial East Africa, which leads to wetter conditions
 there. This mechanism may have contributed to unusually

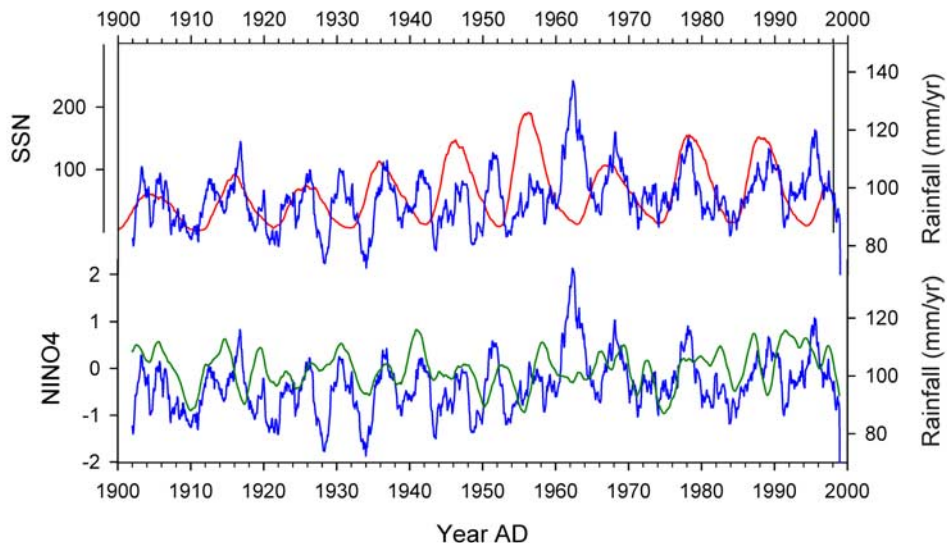


Figure 7. Sunspot numbers (red; SSN) and NINO4 index (green) with 2 year smoothing, versus monthly rainfall (blue). In the top plot, SSN series is shifted 2 years earlier in time relative to rainfall.

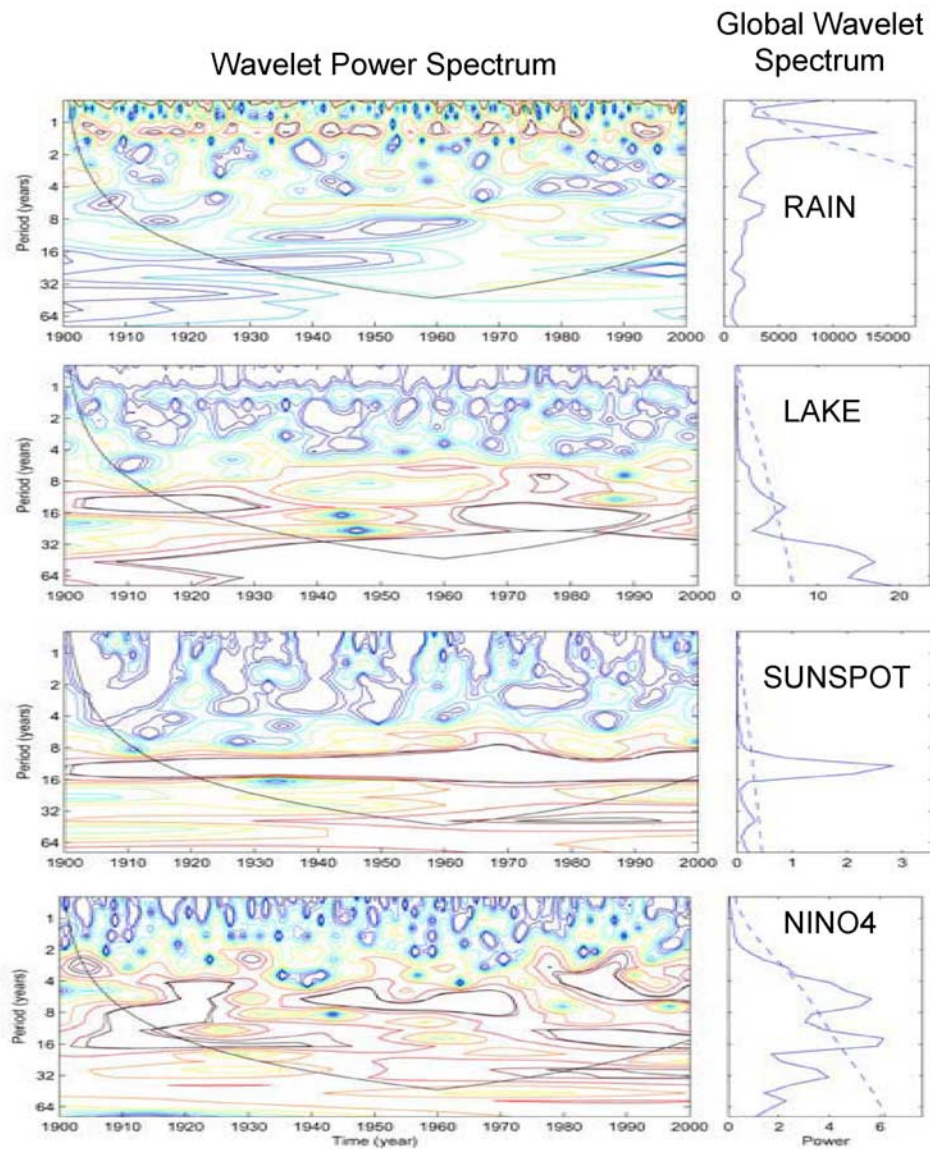


Figure 8. Wavelet power diagrams of monthly rainfall, lake levels, sunspot numbers, and October–December NINO4 index. The complex Morlet wavelet is used here, and data were normalized by their variance. The colored contours on the left plots are plotted at levels corresponding to \log_2 of [0.0625, 0.125, 0.25, 0.5, 1, 2, 4, 8, 16]. Red corresponds to higher levels and the blue to lower levels. Solid curves on the right plots show the integral wavelet power; dashed curves show the 95% significance level over red noise background. For more detail on methods, see *Torrence and Compo* [1998].

429 high water levels at lakes Naivasha and Victoria during the
 430 Maunder Minimum [*Verschuren et al.*, 2000; *Stager et al.*,
 431 2005], when the NAO was primarily negative [*Ruzmaikin et*
 432 *al.*, 2004].

433 [36] Solar activity might further influence tropical SST
 434 and climates by altering oceanic high-pressure cells. The
 435 southwestern Indian Ocean High and South Atlantic High
 436 produce trade winds that flow from their eastern edges and
 437 bring marine moisture to the ITCZ over East Africa.
 438 Strengthened highs are associated with clearer skies (more
 439 insolation) over moisture source regions of the southern
 440 oceans, which could increase evaporation into onshore
 441 winds. Winds spinning off a strengthened Indian Ocean

High could hasten the delivery of warm tropical waters into 442
 the southern Indian Ocean, thus raising SSTs there, and 443
 winds from the South Atlantic High can work against 444
 westward flow of the warm Agulhas Current around the 445
 tip of southern Africa. Finally, stronger highs can contribute 446
 to more vigorous circulation within the ITCZ which, in turn, 447
 can increase convective rainfall during the tropical rainy 448
 seasons. 449

[37] The latitudinal positions and strengths of the Indian 450
 Ocean and South Atlantic Highs in June–August were 451
 significantly correlated with solar activity between 1967 452
 and 1995 AD (Table 3) [*Hameed and Piontkovski*, 2004]. 453
 Although the positions of the highs showed no obvious 454

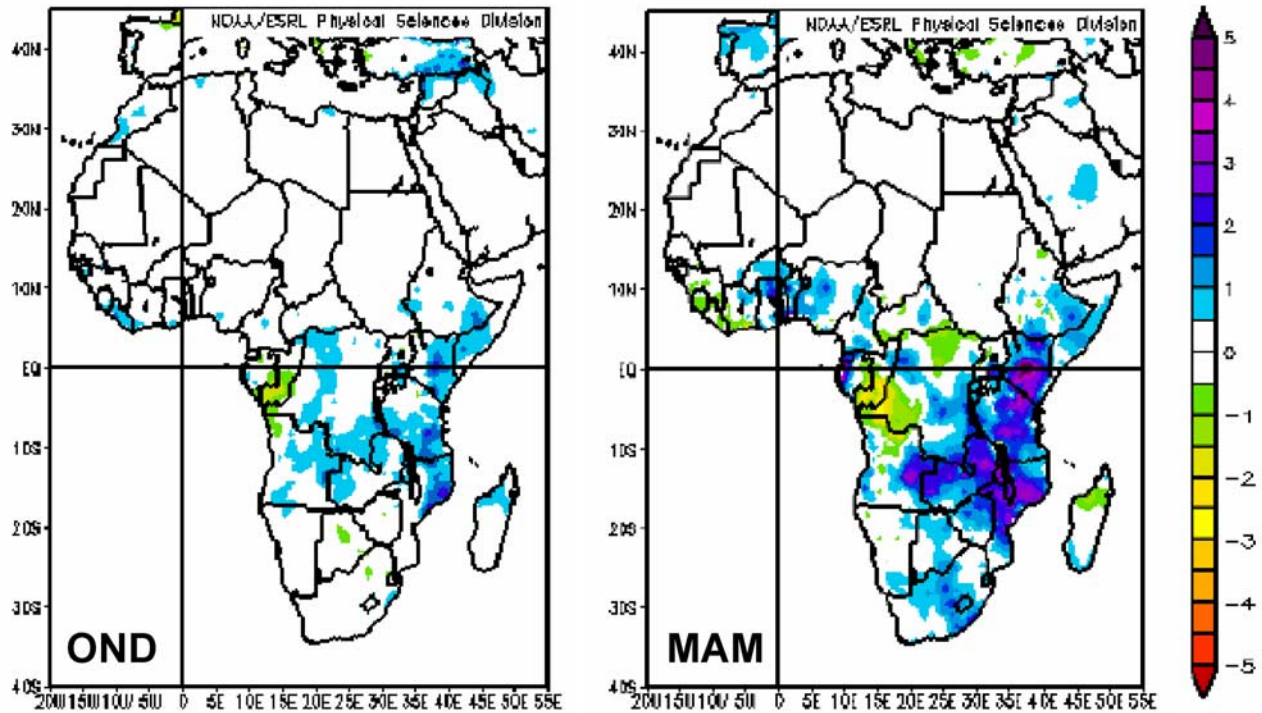


Figure 9. University of Delaware data [Legates and Willmott, 1990] showing that (left) October–December and (right) March–May rainfall in East Africa was heavier than average 0–1 years before solar maxima between 1950 and 1996 AD. Dark blue to purple colors indicate strong positive anomalies. Image provided by the NOAA/ESRL Physical Sciences Division, Boulder Colorado Web site (<http://www.cdc.noaa.gov/>).

455 relationship to rainfall over Lake Victoria, their strengths in
 456 June–August did. Atmospheric pressure within the Indian
 457 Ocean High was positively correlated with precipitation
 458 during subsequent October–December rainy seasons ($r =$
 459 0.31 , $P = 0.090$), and pressure within the South Atlantic
 460 High was correlated with precipitation during the preceding
 461 March–May rainy seasons ($r = 0.44$, $P = 0.012$).

462 [38] Finally, we consider possible interactions between
 463 solar variability and the ENSO system itself. The mean
 464 frequency of El Niño occurrences (~ 5.5 years) is roughly
 465 twice that of the solar cycle, and ~ 11 -year periodicities also
 466 exist within the ENSO system (Figure 8) [Cole et al., 2000].
 467 Others have, in fact, already suggested the existence of
 468 significant teleconnections between ENSO, solar variability,
 469 and the Afro-Asian monsoon system [Higginson et al.,
 470 2004; Fink, 2005].

471 [39] We cannot rule out the possibility that interactions
 472 among solar variability, El Niño, and Indian Ocean Dipole
 473 disturbances caused decadal patterns in East African lake
 474 level variability. For example, Higginson et al. [2004]

475 showed that El Niño events tend to occur ~ 2 – 3 years 475
 476 before and after sunspot peaks, producing a mean period- 476
 477 icity with roughly half the wavelength of the solar cycle. 477
 478 Presumably, while El Niños and associated IOD episodes 478
 479 act as the primary mechanisms for the delivery of SST- 479
 480 linked rainfall anomalies to East Africa, the solar cycle 480
 481 might modulate the frequency of their occurrence through 481
 482 stochastic resonance between the 5.5 and 11-year variations 482
 483 [Ruzmaikin, 1999], possibly by warming SSTs in the 483
 484 tropical oceans and/or by altering atmospheric circulation 484
 485 systems (Table 3) [Higginson et al., 2004]. By revealing the 485
 486 presence of significant decadal periodicities within the 486
 487 NINO4 index, as well as in the lake level series, our wavelet 487
 488 analysis lends further support to this hypothesis (Figure 8). 488

489 [40] It still remains to be explained why the ~ 11 -year 489
 490 periodicity is so readily apparent in the first and last 490
 491 portions of the East African lake level series, standing out 491
 492 prominently amid the higher-frequency, purely ENSO-driven 492
 493 pulses, and why the maximal rainfall anomalies usually 493
 494 preceded sunspot maxima by ~ 1 year instead of coinciding 494

t3.1 **Table 3.** Correlations Between Sunspot Numbers (SSN) and the OND NINO4 Index and the Strength and Positions of the Indian Ocean High (IOH) and South Atlantic High (SAH), for 1967–1995 AD [Hameed and Piontkovski, 2004]^a

t3.2	IOH-Pressure (JJA)	IOH-Latitude (SON)	SAH-Pressure (JJA)	SAH-Latitude (JJA)
t3.3	SSN	0.32 (0.078)	0.31 (0.096)	0.49 (0.006)
t3.4	NINO4 (OND)	0.36 (0.044)	0.08 (0.653)	−0.35 (0.055)
t3.5			0.23 (0.223)	0.03 (0.891)

t3.5 ^aP-values in parentheses.

495 with or following them. We encourage further investigation
496 into these issues.

497 7. Sun-Rainfall-Connection Stability and 498 Predictions

499 [41] The intermittency of sunspot-lake and sunspot-rain-
500 fall correlations during the 20th century is often advanced as
501 evidence that such relationships are fortuitous. However,
502 temporal stability is a poor measure of the reality of climatic
503 patterns, as the most cursory examination of 20th century
504 climate teleconnection histories quickly reveals. Even the
505 widely accepted relationship between El Niño and East
506 African rainfall is itself both nonlinear and temporally
507 unstable, as is the relationship between El Niño and the
508 Indian monsoon [Kumar *et al.*, 1999; Nicholson and Selato,
509 2000; Richard *et al.*, 2000; Conway, 2002; Black *et al.*,
510 2003].

511 [42] We believe that the instability of Sun-rainfall rela-
512 tionships in East Africa simply reflects wavering sensitivity
513 of complex climate systems to solar influences, most likely
514 due to changing boundary conditions. The shifting correla-
515 tions between sunspot numbers and lake levels during the
516 20th century were also accompanied by shifts in the nature
517 of rainfall variability in the Victoria watershed, with pre-
518 cipitation becoming less seasonally restricted in midcentury.
519 During the periods 1901–1927 AD and 1968–2000 AD,
520 for instance, the short rains tended to begin in October, but
521 during the intervening interval they were twice as likely to
522 begin in September. Heavy rainfall excursions during the
523 July–August “dry season” were also more numerous
524 during the midcentury interval than during the earlier and
525 later intervals. Climatic systems elsewhere changed during
526 the mid-20th century, as well [Hoyt and Schatten, 1997].
527 Indian rainfall was unusually high then [Kumar *et al.*,
528 1999], Atlantic trade winds weakened [Black *et al.*, 1999],
529 the Icelandic Low moved southward [Kelly, 1977], the North
530 Atlantic Oscillation index decreased [Jones *et al.*, 2001],
531 and ENSO variability was unusually muted [Torrence and
532 Compo, 1998].

533 [43] Sun-climate relationships also display instability on
534 longer timescales. The occurrence of lake level maxima at
535 Victoria and Naivasha during the prolonged sunspot minima
536 of the Little Ice Age [Verschuren *et al.*, 2000; Stager *et al.*,
537 2005] implies that century-scale Sun-rainfall relationships
538 then were reversed relative to those that we have described
539 for the 20th century, and longer diatom records from Lake
540 Victoria [Stager *et al.*, 2003] show little correspondence to
541 the atmospheric delta-14C record prior to the last
542 millennium. Again, we suggest that changing terrestrial
543 boundary conditions are the most likely causes of such
544 instability in Sun-climate relationships.

545 [44] Recently developed models have successfully employed
546 monitoring of ENSO indices and SSTs for predicting future
547 rainfall anomalies in East Africa several months in advance
548 [Linthicum *et al.*, 1999]. Our analyses show that unusually
549 heavy rainy seasons could also have been consistently
550 predicted, several years in advance, by monitoring the sunspot
551 cycle.

552 [45] The predictive value of the lake-Sun relationships
553 discussed here might be limited to forecasting positive
554 rainfall anomalies that are associated with solar maxima.

Rainfall peaks of the 20th century also occurred in response 555
to other causes, and the solar cycle seems unable to yield 556
reliable predictions of drought in this region. The ENSO 557
system displays a similar asymmetry of influence; La Niña 558
events are not consistently associated with drought in East 559
Africa despite the stronger association of El Niño with 560
heavier rainfall [Nicholson and Selato, 2000]. 561

[46] Nonetheless, the pronounced effects of heavy rain on 562
African ecosystems and societies make this a potentially 563
valuable predictive tool, particularly considering the long 564
lead times involved. We expect East Africa to experience a 565
major intensification of rainy season precipitation, along 566
with widespread Rift Valley Fever epidemics, a year or so 567
before the solar maximum of 2011–2012 AD [Kerr, 2006]. 568

569 8. Conclusions

[47] There is no doubt that solar irradiance plays a central 570
role in establishing the rhythm of diurnal, seasonal, and 571
orbital-scale climate cycles, and that it drives convection 572
and migrations of the ITCZ. In studying past influences of 573
solar variability on paleoclimates, investigators rely upon 574
records that tend to smooth much of the noise out of rainfall 575
patterns, and Sun-paleoclimate relationships are typically 576
identified by simple visual comparison between solar and 577
climate proxy time series. 578

[48] In contrast, analyses of modern climate systems 579
usually involve larger, noisier data sets and more mathe- 580
matically based testing that greatly raises the bar to accep- 581
tance of Sun-climate relationships today. We acknowledge 582
the need for caution in attributing causality to apparent 583
sunspot-weather relationships, considering some of the 584
poorly substantiated cases that have appeared in the past. 585
However, in the case of Lake Victoria, many of the 586
statistical tools that have been applied to this question have 587
been ill suited to the analysis of complex, nonlinear sys- 588
tems. Our study shows that more time-sensitive techniques 589
such as wavelet analysis, in addition to thoughtful visual 590
inspection of relevant time series, more clearly reveal 591
underlying patterns of change that are otherwise easily 592
missed or discounted. 593

[49] We have shown that significant relationships between 594
the solar cycle and rainfall existed in East Africa during the 595
20th century, discussed mechanisms that might help to 596
explain those relationships, and shown how sunspot cycles 597
could be used to predict positive regional rainfall anomalies 598
several years in advance. When one considers that heavy 599
rains in East Africa have serious consequences for soil 600
erosion, hydropower generation, flooding, and insect-borne 601
disease, and that they can also affect regions farther north 602
that respond to the ebb and flow of the Nile, the importance 603
of pursuing the subject further becomes clear. We hope that 604
this paper serves as a stimulus for that endeavor. 605

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